One size fits all: prefixes, particles, adpositions and cases as members of the category P

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1 Introduction

Some history:

Recent research on adpositions:

- van Riemsdijk 1990, Koopman 1997, van Riemsdijk & Huybregts 2001, Helmantel 2002, Den Dikken 2003, Svenonius 2004
- focuses on division of labour between direction and location heads in the extended projection of the PP
- general consensus (give or take functional structure) [pP [PathP [PlaceP [DP]]]]

Work uniting prefixes/particles and prepositions:

- Jackendoff 1973, Emonds 1976, van Riemsdijk 1978, Den Dikken 1995, Zeller 2001, Matushansky 2002
- particles/prefixes and prepositions belong to one category

Work uniting prepositions and cases:

- Fillmore 1968, Emonds 1985
- prepositions and cases belong to one category
- Fillmore: cases involve an empty P, Emonds: Alternative Realisation

Aims of this paper:

- build on past work on specific languages, which unites particles, prefixes, adpositions & cases
- show that particles, prefixes, adpositions & cases belong to the category P in a cross-linguistic perspective
- integrate the additional elements (prefixes/particles and cases) into the structures found in research on adpositions
- show how the different orders and meanings can be accounted for in such structures
- account for morphological differences in the spell-out of the different items

What we will not talk about:

- nominative and accusative case (structural cases)
- Germanic inseparable prefixes (e.g. German *ver*-, *ent*-, etc.)
- other non-spatial items with an overlapping distribution with the items discussed here (e.g. Hungarian particles, *meg*, *el*, etc.)

Structure of the talk:

- 2. Evidence that prefixes/particles, adpositions & cases belong to one category; possible problems
- 3. Structural Analysis
- 4. Conclusion

2 Evidence

2.1 Prefixes/Particles and Adpositions

- > Prefixes/particles and prepositions/postpositions often have similar forms and interpretations.
- (1) Dutch particles
 - a. Hij wou een aanvraag in-dienen he wanted an application in-serve 'He wanted to make an application.'
 - b. Hij diende een aanvraag in. he served an application in 'He made an application.'
- (2) Dutch postpositions & prepositions
 - a. Zij wou het meer **in-**zwemmen.

 She wanted the lake in-swim

 'She wanted to swim **into** the lake.' (directional)
 - b. Zij zwom het meer in. she swam the lake in 'She swam into the lake.' (directional)
 - c. Zij zwom **in** het meer. she swam in the lake 'She swam **in** the lake.' (locative)
- (3) German prefixes, prepositions & postpositions¹
 - a. Sie wollte **auf** den Berg hin-**auf**-laufen. she wanted on the ACC mountain there-on-run 'She wanted to run up the mountain.'
 - b. Sie lief **auf** den Berg hin-**auf**. she ran on the.ACC mountain there-on 'She ran up the mountain.'
- (4) Modern Greek prefixes & prepositions

Ton **ap-**e -val-an **apo** to sxolio. him-CL from-PAST-throw-3PL.PAST from the school 'They expelled him from school.'

¹ The following abbreviations are used in the example glosses: ABL=ablative, ACC=accusative, ADESS=adessive, ADJ=adjective, AGR₀=object agreement, AGR_S=subject agreement, APPL=applicative, CL=clitic, DAT=dative, DEL=delative, DIR=directional, ELAT=elative, ESS=essive, FUT=future, GEN=genitive, ILL=illative, INESS=inessive, INSTR=instrumental, PERF=perfect, PFX=prefix, PL=plural, POSS=possessive, POSTESS=postessive, PREP=prepositional case, SG=singular, SUB=sublative, SUP=superessive, T/A=tense/aspect, TERM=terminative.

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(5) Ancient Greek prefixes & prepositions

eis-e-bal-on eis ten Attike-n.
into-PST-throw-3PL into the.ACC Attica-ACC 'They invaded Attica.'

(6) Russian prefixes & prepositions

On **ot**-prygnul **ot** okna. he from-jumped from window 'He jumped away from the window.'

> Case study: Slavic prefixes & prepositions

(7) Russian goal and source prepositions and prefixes:

prepositions	verbal prefixes	
do (+ GEN), k (+ DAT)	do-, pri -, *k-	to
k (+ DAT)	*k-	towards
v (+ ACC) / (+ PREP)	v-, za -	in / into
na (+ ACC) / (+ PREP)	$(na-)^2$	on / onto
ot (+ GEN)	ot-, u -	(away) from
iz (+ GEN)	iz-, vy -	out of

(8) Czech goal and source prepositions and prefixes:

prepositions	verbal prefixes	
do (+ GEN), k (+ DAT)	do-, při -,* k-	to
k (+ DAT), vůči (+ DAT)	*k-, *vůči-	towards
do (+ GEN)	do-	in / into
na (+ ACC) / (+ PREP)	$(na-)^3$	on / onto
od (+ GEN)	od-, u -	(away) from
z (+ GEN)	vy-	out of

- (9) prepositional counterparts of additional prefixes:
 - a. $pri(p\check{r}i)$ (+ PREP) 'at, by'
 - b. za (+ ACC) / (+ INSTR) 'within' / 'behind, at, with, ...'
 - c. u (+ GEN) 'at'
 - d. Old Slavonic vъn (+ GEN) > Mod. Russ. / Czech adverbial von / ven 'outside'

Interim conclusion:

• prepositions/postpositions are closer to the noun and prefixes/particles to the verb

• the identity of form and meaning between prepositions/postpositions and prefixes/particles in different languages constitutes evidence that they belong to the same category

² The prefix *na*- is only found on the motion verb *idti / jit* 'go'. *Najti* and *najit*, however, do not convey the meaning of a motion on foot onto something but have the different lexical meaning of 'find'. To describe a motion onto something, other prefixes are used depending on the particular perspective such as Russian *so-jti* 'descend' (lit. down-go) or Czech *vze-stoupit* 'ascend' (lit. up-step).

³ See previous footnote.

2.2 Tying in Cases

Spatial meanings can be expressed by both case and adposition forms:

- (10) Latin cases vs English prepositions
 - a. Neapoli-m cras iter faciemus.
 Naples-ACC tomorrow journey make.FUT.1PL
 'We will travel to Naples tomorrow.'
 - b. loc-o idone-o place-ABL suitable-ABL 'in a suitable place'
- (11) German case contributing to spatial meaning
 - a. auf den Berg on the.ACC mountain 'onto the mountain' (directional)
 - b. auf **dem** Berg on the DAT mountain **'on** the mountain' (locative)

> Case study: Hungarian case suffixes & adpositions/particles

Case is not always easily separable from the other P categories. In Hungarian the picture is more blurred.

No clear semantic distinction between case suffixes and postpositions.

(12) Hungarian case suffixes and postpositions in spatial expressions

- a. Imre el-ment Olaszország-**ba**. (case)
 Imre away-went Italy-ILL
 'Imre went to Italy.'
- b. Géza olvas a kert-ben. (case)
 Géza read the garden-INESS
 'Géza is reading in the garden.'
- c. Négy szék van az asztal **körül**. (postposition) four chair be the table round 'There are four chairs around the table.'
- d. Fel-akasztotta a festmény-t a két ablak **közé**. (postposition) up-hung the painting-ACC the two window between 'She hung up the painting between the two windows.'

Potential morphological diagnostics for distinguishing cases and postpositions conflict with one another (c.f. Marácz 1989:356-70, É. Kiss 2002).

- (13) Pronominal agreement: cases and inflecting postpositions pattern together
 - a. Case agrees with pronoun
 - (én) vel-em
 - (I) INSTR-1SG
 - 'with me'

(14)

(15)

(16)

c.

'near John'

együtt

together

'together with John'

Non-inflecting postposition: can prepose

János-sal

John-INSTR

Inflecting postposition agrees with pronoun b. (én) mögött-em behind-1SG (I) 'behind me' Non-inflecting postposition carries no agreement c. (én) rajt-am SUP-1SG (I) over 'over me' Demonstrative copying: cases and inflecting postpositions pattern together Case is copied after the demonstrative a. en-nél a ház-nál this-ADESS the house-ADESS 'at this house' Inflecting postpositions are copied after the demonstrative b. alatt alatt fa az a that under the tree under 'under that tree' Non-inflecting postpositions are not copied c. az-zal fiú-val együtt that-INSTR the boy-INSTR together 'together with that boy' Degree modification: cases and inflecting postpositions pattern together Case: degree modifier precedes whole phrase a. majdnem az utcá-ban almost the street-INESS 'almost in the street' Inflecting postposition: degree modifier precedes whole phrase b. majdnem ház mellett a almost the house near 'almost by the house' Non-inflecting postposition: degree modifier intervenes between N and postposition c. út-on majdnem végig az road-SUP almost to.the.end the 'almost to the end of the road' Preposing: cases and inflecting postpositions pattern together Case: cannot prepose a. *val János INSTR John 'with John' Inflecting postposition: cannot prepose b. *mellett János near John

Vowel harmony: inflecting and non-inflecting P pattern together (17)Case harmonises ház-ba/*-be zsebé-be/*-ba a the house-ILL the pocket-ILL 'into the house' 'into the pocket' b. Inflecting postposition, no harmony ház mellett/*mallatt zseb mellett/*mallatt a the house near the pocket near 'near the house' 'near the pocket' Non-inflecting postposition, no harmony c. szemben/*szamban a h**á**z-zal a zseb-bel szemben/*szamban the house-INSTRopposite the pocket-INSTR opposite 'opposite the house' 'opposite the pocket' (18)Combination with adjectival suffix -i: postpositions pattern together Case, no addition of -i *?a kert-ben-i virág garden-INESS-ADJ the flower 'the flower in the garden' b. Inflecting postposition allows addition of -i híd mögött-i bridge behind-ADJ road the 'the road behind the bridge' Non-inflecting postposition allows addition of -i c. a ház-on kívül-i virágok house-SUP flowers outside-ADJ the 'the flowers outside the house' (19)Sublative/delative case marking: postpositions pattern together Case, no addition of sublative/delative *a híd-on-ról bridge-SUP-DEL Inflecting postposition allows addition of sublative/delative b. három óra után-ra three hour after-SUB 'by after three o'clock' Non-inflecting postposition allows addition of sublative/delative c. híd-on túl-ról beyond-DEL bridge-SUP the 'from behind the bridge' Ellipsis under conjunction: postpositions pattern together (20)Noun ellipsis in coordinated PPs: ház előtt és mögött a (a ház) house before and house) behind the (the 'before and behind the house' b. P-ellipsis in coordinated PPs: ház (előtt) és garázs előtt a a house (before) and the garage before 'before the house and the garage' Unacceptability of noun ellipsis with coordinated case marked NPs: c. ház-tól a és *(a ház)-ból the house-ABL and (the house)-ELAT 'from outside and from inside the house'

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d. Unacceptability of case suffix ellipsis with coordinated NPs:
a ház-*(nál) és a garázs-nál

the house(-ADESS) and the garage-ADESS

'at the house and the garage'

(21) Summary of the morphological behaviour of Hungarian affixes and Ps

difficially of the morphological behaviour of frangarian arrives and 13				
Characteristic	Affix	Inflecting	Non-inflecting	
		postposition	postposition	
(a) pronominal agreement	✓	✓	-	
(b) demonstrative copying	\checkmark	\checkmark	-	
(c) degree modification intervening	-	-	✓	
(d) preposing	-	-	✓	
(e) vowel harmony with N	\checkmark	-	- -	
(f) combines with adjectival suffix -i	-	✓	\checkmark	
(g) sublative/delative case marking	-	✓	\checkmark	
(h) ellipsis under conjunction	-	✓	✓	

BUT There are borderline cases even for these groupings.

(22) Non-inflecting Ps that do not prepose (mixed behaviour on (a) and (d)): kezdve, fogva, nézve

??kezdve hétfő-től from Monday-ABL

'from Monday'

(23) *kivül* behaves variably with respect to pronominal agreement (cf. (a))

kívül-em / rajt-am kívül outside-1SG / SUP-1SG outside

'outside me'

(24) Case affixes with no vowel harmony: -ért, -ként, -ig, -nként, -kor (cf. (e))

a. épület-ig a'. épület-ben/*-ban bread-TERM building-INESS 'as far as the building' 'in the building'

b. város-ig b'. a város-ban/*-ben town-TERM the town-INESS 'as far as the town' 'in the town'

(25) Cases with no pronominal form: -va/ve, -ként, -ig, -nként, -kor, -nta/-nte, -stul/-stül (cf. (e))

a. *(én)-ként-em b. *(én)-ig-em (1SG)-ESS-1SG (1SG)-TERM-1SG 'as me' 'as far as me'

(26) Ellipsis is acceptable in restricted circumstances with certain case suffixes (c.f. (h))

feleség- és anya-ként wife and mother-ESS

'as wife and mother'

Furthermore, in Hungarian, both cases and postpositions can surface as verbal prefixes/particles.

(27) Identity of cases and postpositions and verbal particles

a. Cases as verbal particles

János **rá-**lépett a láb-am-**ra**.

János SUB-stepped the foot-1SG.POSS-SUB

'János stepped on my foot.'

Be-le-tette a cukr-ot a kávé-**ba**. ILL-3SG-put the sugar-ACC the coffee-ILL

'He/She put the sugar into the coffee.'

Nek-i-mentem a fal-nak.
DAT-3SG-went the wall-DAT

'I bumped into the wall.'

b. Inflecting postpositions as verbal particles

Alá-írta oklevel-et. az az autó alá / (én)-alá-m under-wrote document-ACC the car under /(1SG)-under-1SG the 'She signed the document.' 'under the car' / 'under me' Körül-néztünk az üzlet-ben. az üzlet körül / (én)-körülött-em round-looked the shop round / (1SG)-round-1SG the shop-INESS 'We looked around the shop.' 'round the shop'/ 'round me'

c. Non-inflecting postpositions as verbal particles

Keresztül-mentekamező-n.a mező-nkeresztülacross-wentthefield-SUPthe field-SUPacross'They went across the field.''across the field'

The connection between adpositions and cases is not restricted to Hungarian. Similar arguments have been made for:

- German (Vogel & Steinbach 1998, Bayer, Bader & Meng 2001)
- Finnish (Nikanne 1991, Kracht 2002)
- Lezgian (van Riemsdijk & Huybregts 2001)

Interim conclusion:

- In Hungarian it is impossible to draw a neat line between case suffixes and postpositions on the basis of the diagnostics previously used.
- In languages where the morphological distinction is clearcut, the semantic overlap still constitutes evidence that cases belong to the category P

2.3 Problems

Some reasons for making categorial distinctions between these elements:

A. Ps as case assigners:

(28) Latin combinations of prepositions and cases

a. Milites **ad Rhen-um** castra posuerunt. soldiers to Rhine-ACC camp.ACC place.PERF.3PL 'The soldiers pitched camp near the Rhine.'

b. Ab urb-e discessit.
from city-ABL leave.PERF.3SG
'He left the city.'

(29) German combinations of prepositions and cases

d. **durch** die Stadt through the ACC town

'through the town'

b. **aus** dem Haus out the.**DAT** house

'out of the house'

BUT not all Ps visibly combine with cases on the noun, and those that do could be seen as analogous to combinations of Ps and combinations of cases.

- (30) Case combinations in Lezgian and P combinations in English
 - a. sewre-qh

bear-POSTESS

'behind the bear'

b. sewre-qh-aj

bear-POSTESS-ELAT

'from behind the bear'

c. sewre-qh-di

bear-POSTESS-DIR

'to behind the bear'

(from van Riemsdijk & Huybregts 2001:4)

B. There is a split between true Ps and adverbs (É. Kiss 2002, Marácz 1989)

BUT

- no category adverb is recognised in the Principles & Parameters framework
- category P may play a fundamental part in making other categories into adverbs in many contexts
- C. Not all elements subsumed here under P can appear in all P-positions (see section 3)
- D. Some elements subsumed here under P seem to interact with aspect whilst others do not (see section 3)

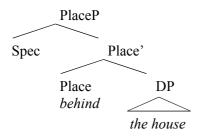
3 Structural Analysis

[IP [I' I [PredP [Pred' Pred' Pred [VP [V' V [VP [V' V [PathP [Path' Path [PlaceP [Place' Place [DP]]]]]]]]]]]]]]]

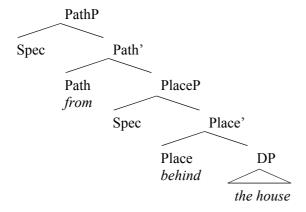
Overview

- each individual lexical item P has its own core semantics
- depending on the core semantics of P, it is merged in the extended projection of the noun phrase as either Place or Path (heading a small clause with the verb-internal argument as its subject)
- final position with respect to N/V and detailed directional/spatial semantics are determined by syntactic movement
- core semantics of certain individual Ps make them incompatible with certain positions, preventing them from becoming particles/prefixes

(32) Locative P behind the house



(33) Directional P from behind the house

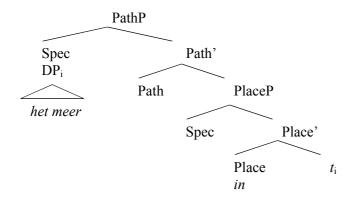


Difference between prepositions & postpositions:

- universal Spec-Head-Comp ordering: P always precedes DP in its initial position
- postpositions (e.g. Hungarian and Dutch) are the result of DP-raising to Specp/Path/Place as appropriate

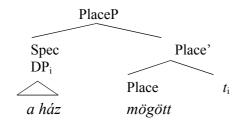
(34) Dutch postposition (directional)

het meer in the lake in 'into the lake'

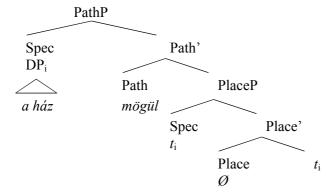


(35) Hungarian postposition

a. a ház mögött the house behind 'behind the house' (locative)



a ház mögül
 the house behind.from
 'from behind the house' (directional)



Difference between case suffixes and postpositions:

- result of phonological processes after Spell-Out, at PF
- morphological merger between DP and P after movement

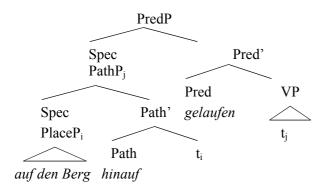
Verbal particles and prefixes: 4

- created by further movement of the PathP to Spec,PredP
- link the verbal domain and the nominal domain

(36) German prefix

Sie ist auf den Berg hin-auf-gelaufen. she is on the ACC mountain there-on-run 'She ran up the mountain.'

⁴ See Ürögdi 2003 for a copy theory account of particle formation in Hungarian.



Difference between verbal prefixes (affixes) and particles (independent words):

- result of phonological processes after Spell-Out, at PF
- morphological merger between P and verbal projection after movement of P to Spec,PredP

4 Conclusion

We have:

- presented semantic and morphological evidence that prefixes, particles, adpositions & cases belong to one category, P
- explained some of the apparent counterevidence
- proposed a structural analysis whereby
 - different adposition-noun ordering and combination phenomena are accounted for by movements within the extended projection above the noun
 - o particles/prefixes are formed by movement to PredP

Possible extensions to account for Ps with non-spatial meaning:

- metaphorical extensions from spatial Ps (e.g. temporal *at*, *up to*; general metaphorical use, *prices go up*) can probably be accounted for under the same analysis
- Ps selected by specific verbs (e.g. believe *in*, phone *up*), where P would normally have spatial meaning but does not in specific P-verb combinations
- Ps which never seem to have spatial meaning (e.g. with, without, as; comitatives, instrumentals etc.)
- applicatives
- (37) Chi-Mwi:ni applicative (from Svenonius 2006, citing Marantz 1984:236) Muti u-m-tuluk-**il**-ile mwa:limu.

 $tree \qquad AGR_s\text{-}AGR_o\text{-}fall\text{-}\textbf{APPL-}T/A \qquad \quad teacher$

'The tree fell on the teacher.'

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